



LICENCE APPLICATION FOR WHOLESALE ENERGY SUPPLY (TRADING)

I	APPLICANT APPLYING FOR: (Please mark one of the following activities)
	WHOLESALE ENERGY SUPPLY (TRADING) LICENSE
	EXTENSION OF THE WHOLESALE ENERGY SUPPLY (TRADING) LICENSE
	TRANSFER OF THE WHOLESALE ENERGY SUPPLY (TRADING) LICENSE

II	APPLICATION INSTRUCTIONS				
	<p>The Applicant must submit to the Energy Regulatory Office this completed application and the attached original or notarized evidences/documents as well as an electronic copy (if requested by the Energy Regulatory Office), in one of the official languages in Kosovo.</p> <p>The Applicant should submit the completed application to the following addresses:</p> <ul style="list-style-type: none">▲ Print version: Energy Regulatory Office, "Dervish Rozhaja" St. No. 12 10 000 Prishtina, Republic of Kosovo▲ Electronic version: legal-licensing@ero-ks.org <p>Any changes to the data of the enterprise or contact person, as long as the license is valid, must be notified to the Energy Regulatory Office.</p>				
	<table border="1"><tr><td>NAME OF ENTERPRISE(LEGAL ENTITY):</td><td>STAMP:</td></tr><tr><td> </td><td> </td></tr></table>	NAME OF ENTERPRISE(LEGAL ENTITY):	STAMP:	 	
NAME OF ENTERPRISE(LEGAL ENTITY):	STAMP:				

III	GENERAL INFORMATION ON THE ENTERPRISE&CONTACT PERSON	
NAME OF ENTERPRISE(LEGAL ENTITY):		
HEADQUARTERS:		
ADDRESS:		
BUSINESS REGISTRATION No.:		
TELEPHONE NO:		FAX NO:
EMAIL ADDRESS:		
NAME AND SURNAME OF CONTACT PERSON:		
ADDRESS:		
TELEPHONE NO:		FAX NO:
EMAIL ADDRESS:		
CURRENT ACTIVITIES OF THE APPLICANT IN THE ENERGY SECTOR IN KOSOVO:		
1.		
2.		
3.		
PREVIOUS ACTIVITIES OF THE APPLICANT IN THE ENERGY SECTOR IN KOSOVO:		
1.		
2.		
3.		

IV EVIDENCES TO BE ATTACHED		
<i>Instruction: Please place a plus sign (on the right) for all documents attached to this application form:</i>		
No	EVIDENCES /DOCUMENT (ORIGINAL OR CERTIFIED COPY)	ATTACHED (MARK WITH PLUS)
1.	A copy of the Certificate of Registration, issued by the Kosovo Business Registration Agency, i.e. applicants are legally required to register the business.	
2.	Statute of the enterprise or the Agreement on the Establishment of the Company.	
3.	The business plan of the applicant related to the energy activities to be covered by the license, covering at least three (3) forthcoming years. This plan should contain at least: the amount of energy that it plans to trade (MWh and €) respectively the target market, the description of the costs and benefits it expects during the exercise of the electricity trading function etc.	
4.	Certificate by a financial or other institution that confirms the existence of sufficient funds for conducting energy activities (e.g. bank guarantees from the Applicant's bank or mother company in case of subsidies, or similar evidence of sufficient funds to finance the activity for which the license is requested).	
5.	Applicant's annual financial statements for the last three (3) years. Such financial statements shall be audited by licensed and independent financial auditors. The Applicant shall submit the audit report separately from its financial statement, whereas newly established enterprise shall submit a statement on the current financial situation and information pertaining to the experience of its partners and shareholders in conducting similar energy activities, if any.	
6.	Certificate by a competent authority that the Applicant fulfils all legal requirements related to the payment of taxes in the country of its registration as a legal entity.	
7.	Certificate by a competent body that the Applicant is not undergoing a liquidation/bankruptcy procedure, that its business is not administered by a court and that its commercial activities are not suspended.	
8.	Details of the Applicant's experience in conducting energy activities, in the form of an enterprise biography (Curriculum Vitae – CV).	

9.	Information regarding Applicant's organizational structure (management and professional staff).	
10.	Biographies (CVs) of the Applicant's management and other senior personnel, accompanied by their respective qualifications.	
11.	Evidence of any license the Applicant possesses in relation to energy activities in Kosovo or elsewhere.	
12.	Proof of payment of the initial application fee for licensing, in the amount of € one thousand (€ 1,000.00), for revision- modification / amendment or extension, and the transfer of license in the amount of five hundred (€ 500.00), in line with Article 4 of the Rule on Licencing and Appendix 1 of Rule on Taxes.	
13.	Evidence on the publication of the Notice in two (2) daily newspapers with wide circulation in Kosovo (in line with Article 6 of Rule on Licensing).	

V APPLICANT'S STATEMENT			
<i>Instruction: Please answer the following statements with 'YES' or 'NO'.</i>			
No	STATEMENTS:	YES	NO
1.	Were the Applicant's managers ever prosecuted or sentenced for a criminal offence?		
2.	Was the Applicant's License for the activity subject to this application revoked within five (5) years from the date of the application submission?		
3.	Did the applicant comply and whether it is willing to comply in the future (within Kosovo and abroad) with all provisions of the applicable legislation, regarding environment protection?		
4.	Does the Applicant understand and is the Applicant aware of its obligations to implement energy sector legislation, other rules issued by ERO, technical and commercial codes and other applicable legislation?		

5.	Has the applicant conducted any violation in Kosovo or abroad regarding the criteria of safety and protection at work?		
----	--	--	--

Statement

I (responsible person):
 (Name & Surname)

.....
 (Position)

state that, to the best of my knowledge, all information, evidences and documents appended to this Application are original and correct, and that I shall be subjected to sanctioning in the event of false statements and submission of false information.

DATE: _____

PLACE: _____

SIGNATURE: _____

STAMP:

**THIS APPLICATION IS PART OF RULE ON LICENSING OF ENERGY ACTIVITIES IN KOSOVO,
 ERO/NO. 07/2017, DATED 31 MARCH 2017**